### Energy and Emission Prediction for Mixed-Vehicle Transit Fleets Using Multi-Task and Inductive Transfer Learning

Michael Wilbur<sup>1</sup>, Ayan Mukhopadhyay<sup>1</sup>, Sayyed Mohsen Vazirizade<sup>1</sup>, Philip Pugliese<sup>2</sup>, Aron Laszka<sup>3</sup>, and Abhishek Dubey<sup>1</sup>

<sup>1</sup>Vanderbilt University, Nashville TN 37203, USA <sup>2</sup>Chattanooga Area Regional Transportation Authority, Chattanooga TN, USA <sup>3</sup>University of Houston, Houston TX, USA

Tel (615) 343-7472 Fax (615) 343-7440 1025 16th Avenue South|Nashville, TN 37212 www.isis.vanderbilt.edu

VERSITY

VANDERBILT

UNIVERSITY of

HOUSTO

This material is based upon work supported by NSF under grant 1952011 and DOE, Office of Energy Efficiency and Renewable Energy (EERE) under Award Number DE-EE0008467



### Introduction

- In U.S., transportation accounts for 35% of CO2 emissions [1] and 28% of energy consumption [2]
- Public transportation is responsible for 21.1 million metric tons of CO2 emissions [3]

[1] EIA. 2019. U.S. Energy Information Administration: Use of energy explained – Energy use for transportation (2019). <u>https://www.eia.gov/energyexplained/use-of-energy/transportation.php</u>

[2] U.S. Environmental Protection Agency (2021). Inventory of U.S. Greenhouse Gas Emissions and Sinks: 1990-2019 (<u>https://www.epa.gov/ghgemissions/overview-greenhouse-gases#carbon-dioxide</u>)

[3] EPA. 2020b. U.S. Transportation Sector Greenhouse Gas Emissions. https://nepis.epa.gov/Exe/ZyPDF.cgi?Dockey= P100ZK4P.pdf

#### 2019 U.S. Carbon Dioxide Emissions by Source [2]



### Introduction

Adopting EVs and HVs reduces greenhouse gas emissions and operational costs

#### Challenges

- A new EV costs approximately twice as much as a new ICEV vehicle (\$1M, including charging infrastructure)
- Limited battery capacity and driving range
- Most agencies can only afford a mixed-fleet of vehicles



ICEV



ΗV



### **Optimizing Transit Operations**



# The Energy Prediction Problem





Goal: predict energy along route segments (stretches of roadway between stops)

EIA energy conversion calculator. <u>https://www.eia.gov/energyexplained/units-andcalculators/energy-conversion-calculators.php (2021)</u>
EPA greenhouse gases calculator. <u>https://www.epa.gov/energy/greenhouse-gasesequivalencies-calculator-calculations-and-references (2021)</u>

# **Real-world Operational Challenges**



Insight: Training separate models for each type of vehicle ignores generalizable information that is not explicitly modeled in the feature space.

### Contributions



### Preliminaries and Model Formulation

Three Domains:  $\mathcal{D}_{ICEV}$ ,  $\mathcal{D}_{HV}$ ,  $\mathcal{D}_{EV}$ 



ICEV



ΗV



#### • Domain ${\mathcal D}$

- Feature space  $\mathcal{X}$  and input samples  $\{x_1, x_2, ...\} \in \mathcal{X}$
- Output space  $\mathcal Y$  and output samples  $\{y_1,y_2,\dots\}\in \mathcal Y$
- f is a predictive function over  $y \in \mathcal{Y}$  conditional on  $x \in \mathcal{X}$
- Task  $\mathcal{T} = \{\mathcal{Y}, f(\cdot)\}$

### **Output Space**

#### kWh/km Per Route



- EVs have regenerative breaking (energy consumed can be negative), while HVs and ICEVs do not
- Each vehicle class responds differently to network conditions
- Therefore,  $P(Y_{EV}|X_{EV}) \neq P(Y_{HV}|X_{HV}) \neq P(Y_{ICEV}|X_{ICEV})$

Goal is to learn tasks  $\mathcal{T}_{EV} \neq \mathcal{T}_{HV} \neq \mathcal{T}_{ICEV}$ given  $\mathcal{D}_{EV} = \mathcal{D}_{HV} = \mathcal{D}_{ICEV}$ 

# Approach - MTL Model

- Case: transit agency operates many ICEVs, HVs and EVs
- Goal: improve accuracy of forecasting energy (emissions) prediction for all tasks
- Method: hard parameter sharing (shared hidden layers) -> learn generalizable patterns between vehicle classes to improve learning
- Vehicle specific layers



# Approach - ITL Model

- Case: transit agency has a significant variation in the number of vehicles from each class
- Goal: learn model from task with sufficient data and transfer the learned abstraction to improve accuracy for class with insufficient data
- Source domain: significant samples available for training
- Target domain: limited samples available for training



### **Data Collection**

Data collected over a 6 months with our partner agency - Chattanooga Area Regional Transportation Agency (CARTA).

### **Data Sources**

Data Source	Description	Features	Frequency	Scope
ViriCiti - ICEVs	vehicle telemetry	fuel, GPS	1 Hz	3 vehicles
ViriCiti - HVs	vehicle telemetry	fuel, GPS	1 Hz	4 vehicles
ViriCiti - EVs	vehicle telemetry	current, voltage, GPS	1 Hz	3 vehicles
Clever Devices	automated vehicle location	trip ID, vehicle ID	0.1 Hz	all vehicles
HERE	traffic (per TMC)	jam factor, current speed, free flow speed	0.016 Hz	major roads, highways
DarkSky	weather	visibility, wind speed, precipitation intensity, humidity, temperature	0.003 Hz	whole city
Static GTFS	transit schedule	routes, trip ID, stop sequences, stop locations, schedule times	static	whole city
GIC - Elevation	LiDAR elevation	location, elevation	static	whole city
Trip Segments	multiple sources	length, time to travel, average speed, roadway type	static	whole city



VIRICITI

DARK SKY

## Challenges in Mapping Trajectories to Segments

Mapping of trajectory data to segments is noisy and computationally challenging



GPS readings are noisy

# Spatial Challenges

Overlapping segments: Segments 1 and 5 traverse the same section in opposite directions. Intersecting segments: Vehicle locations near the intersection of segments 1 and 4 can lead to incorrect mapping. Stops not shown.

SEGA

SEG 2

### Mapping Vehicle Trajectories to Routes

- Trajectory (T): set of GPS coordinates from vehicle
- Route (*R*): ordered set of route segments
- Route segment (SEG): road segment between two stops
- W : # of lookahead segments
- *B*: Max distance between segment and vehicle GPS





### Mapping Vehicle Trajectories to Routes

#### Intuition

- Initalize *T*, *R*, *W*, *B*
- Set index of current segment (c = 1)
- For each GPS point  $l_i \in T$  we consider the set  $\{SEG_c, \dots, SEG_{c+W}\}$ .
- Map  $l_i$  to nearest SEG in  $\{SEG_c, \dots, SEG_{c+W}\}$ .
- Update index of current segment

Short lookahead - alleviates duplicate matches from segments further away in the route and minimizes computational requirements



### Data Cleaning and Join

- 1. Each trip is a trajectory. Trajectories are split between stops using the mapping procedure. Each sample is a portion of a trajectory between two stops.
- 2. ICEVs and HVs fuel consumed is in liters.
- 3. EVs provide SOC readings but the precision so too low. Therefore energy consumed is given by:  $E_i = A_i * V_i(TS_i TS_{i-1})$ 
  - 1.  $E_i$ ,  $A_i$ ,  $V_i$  are energy consumed (Joule), current (Ampere) and voltage (Volt) respectively.
  - 2.  $TS_i$  is time (seconds) at timestep i.
  - 3. To get energy on a segment the energy consumed is accumulated for all locations on that segment.
- 4. Weather features are taken from the closest weather station at the time in which the vehicle started traversing the segment. Traffic (jam factor, speed ratio) taken when vehicle starts traversing segment.
- 5. List of input features are provided on slide 19.

### Evaluation

- Investigation of covariates for energy (emission) prediction
- Hyperparameter tuning and baseline models
- MTL model evaluation
- ITL model evaluation

# **Covariates for Energy (emission) Prediction**

#### Pearson Correlation of input features with emissions

- ICEVs, HVs and EVs respond differently to some covariates
  - Average speed
  - Change in elevation
  - Temperature



(a) Emissions (kg CO2)

(b) Energy consumption (kWh)

	ICEV	HV	EV
Average Speed	-0.262	-0.134	-0.093
Segment Length	0.886	0.916	0.860
Time to Travel	0.865	0.838	0.752
Change in Elevation	0.539	0.505	0.523
Max Elevation Change	0.103	0.135	0.222
Speed Ratio	0.028	0.038	0.038
Jam Factor	-0.016	-0.026	-0.015
Temperature	-0.005	0.013	-0.037
Precipitation	-0.005	-0.008	-0.003
Visibility	0.004	0.006	0.008
Wind Speed	0.011	0.004	-0.002
Humidity	0.001	-0.008	-0.009
Wind Gust	0.000	-0.002	-0.012

### Evaluation - Hyperparameter Tuning and Baseline Models

#### Hyperparameter Tuning

- Randomly select 43,022 samples from each vehicle class
- 80% of the samples for training and 20% for testing
- 10% of training samples used for evaluation
- Tested shared hidden layer widths of {200, 300, 400} and shared hidden layer depths of {3, 4, 5}
- Tested learning rates of {0.01, 0.005, 0.001, 0.0005, 0.0001}
- Tested batch sizes of {64, 128, 256, 512}
- MSE loss function, ReLU activation, linear output
- Adam optimizer

Baseline models: vehicle-specific neural networks



# MTL Evaluation

**Experiment Setup** 

- Baselines: vehicle-specific neural networks
- 80% train (10% used for evaluation) and 20% test
- 10 MTL models trained (30 total baseline, 10 in each class)

Percent Improvement Over Baselines

- ICEVs: 8.6% (MSE) 6.4% (MAE)
- HVs: 17.0% (MSE) 9.0% (MAE)
- EVs: 7.0% (MSE) 4.0% (MAE)



Fig. Average MSE and MAE of MTL model compared to baseline on testing set. Prediction target: emissions (kg CO2)

# **MTL Evaluation**

Experiment Setup

- Baselines: vehicle-specific neural networks
- 30 datasets generated through bootstrapping



#### Mean Percent Improvement Over Baselines

- ICEVs: 5.1% (Bias)
- HVs: 10.8% (Bias)
- EVs: 1.0% (Bias)

Fig. Distribution of MTL and baseline model bias per sample for each vehicle class from bootstrap evaluation, 30 bootstrap iterations. Prediction target: (a) emissions and (b) energy.

# **ITL Evaluation**

- ITL model is trained on full dataset in the source vehicle class and is evaluated on the target vehicle class (source -> target)
- Improved forecasting accuracy for all target classes when ICEV and HV used as source
- Negative transfer EV -> ICEV



Fig. : ITL models compared to corresponding baselines. Average MSE compared to fraction of data samples used for training in the target vehicle class. Prediction target: emissions (kg CO2)

- t-SNE parameters: number of components=2, perplexity=10, initialization=PCA, number of samples=860 (2% of dataset)
- Fig 1: t-SNE on raw input features for each data sample from the source domain.
- Fig 2: t-SNE on the output of shared-hidden layers for each data sample from the target domain.



- Fig 1 (a-c) all three plots on the raw input features are similar, collaborating that input features are similar across tasks
- Fig 2 (a-f) effectively discriminate the samples with high emissions and low emissions
- Fig 2 (g-i) EV source model shows poor discrimination, reflecting the negative transfer

![](_page_24_Figure_4.jpeg)

- Fig 1 (a-c) all three plots on the raw input features are similar, collaborating that input features are similar across tasks
- Fig 2 (a-f) effectively discriminate the samples with high emissions and low emissions
- Fig 2 (g-i) EV source model shows poor discrimination, reflecting the negative transfer

![](_page_25_Figure_4.jpeg)

- Fig 1 (a-c) all three plots on the raw input features are similar, collaborating that input features are similar across tasks
- Fig 2 (a-f) effectively discriminate the samples with high emissions and low emissions
- Fig 2 (g-i) EV source model shows poor discrimination, reflecting the negative transfer

![](_page_26_Figure_4.jpeg)

### **Discussion and Conclusion**

#### **Scenarios Addressed**

- We presented an MTL solution for the case when transit agency operates many ICEVs, HVs and EVs
- We presented an ITL solution for the case when transit agency has a significant variation in the number of vehicles from each class

#### Key Findings

- MTL improves prediction accuracy and reduces bias
- ITL is most effective when data is limited in target class
- EV energy (emissions) is harder to predict than HV and ICEV
- Negative transfer when EV is source and ICEV is target, though this situation rarely arises in practice

![](_page_27_Picture_9.jpeg)

![](_page_27_Picture_10.jpeg)